

Effects with Variable Binding

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Overview

- ▶ Algebraic effects
- ▶ Semantics of computational effects
- ▶ Continuations are host-language functions
- ▶ Prevents analysis and transformation
- ▶ Solution: explicit variable binding
- ▶ To be used as compiler IR

	multi-pass	modular	verified
Nanopass	+	+	-
CompCert	+	-	+
ITrees	-	+	+
Ours	+	+	WIP

Verified program transformations (sneak peek)

ITrees:

$$\begin{array}{ccc} AST & \xrightarrow{\text{transform}} & AST' \\ \text{denote} \downarrow & & \downarrow \text{denote} \\ \text{Free } \sigma \ A & \overset{\approx}{=} & \text{Free } \sigma \ A \end{array}$$

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Ours:

$$\text{Free } \sigma \ A \xrightarrow{\text{transform}} \text{Free } \sigma' \ A$$

$\approx$

Algebraic Effects Concretely

- ▶ 'Counter' effect with operations: $increment : 1 \rightarrow 1$, $count : 1 \rightarrow \mathbb{N}$
- ▶ Generates this free monad:

```
data Counter (A : Set) : Set where
  increment : Counter A → Counter A
  count : (Nat → Counter A) → Counter A
  return : A → Counter A
```

- ▶ `count (λ c → return c)`

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- ▶ $count (\lambda c \rightarrow return\ c)$
- ▶ $count (\lambda c \rightarrow return\ 0)$ $(\implies return\ 0)$
- ▶ Problem: How to tell the difference?

Contexts and indices

Contexts:

$\text{Context} : \text{Set}_1$

$_,_ : \text{Context} \rightarrow \text{Set} \rightarrow \text{Context}$

$[] : \text{Context}$

De Bruijn indices:

$\text{Ix} : \text{Set} \rightarrow \text{Context} \rightarrow \text{Set}$

$\#0 : \text{Ix } A (\Gamma, A)$

$\#1 : \text{Ix } B (\Gamma, B, A)$

...

A category for explicit variable binding

$$\mathbf{Set} \quad \Longrightarrow \quad \mathbf{Context} \rightarrow \mathbf{Set}$$

(Categorically a presheaf over renamings)

Solution: Effects with Variable Binding

```
data Counter' (A : Context → Set) (Γ : Context) : Set where
  increment : Counter' A Γ → Counter' A Γ
  count : Counter' A (Γ , Nat) → Counter' A Γ
  return : A Γ → Counter' A Γ
```

► `count (return #0) : Counter' (Ix Nat) Γ`

Solution: Effects with Variable Binding

```
data Counter' (A : Context → Set) (Γ : Context) : Set where
  increment : Counter' A Γ → Counter' A Γ
  count : Counter' A (Γ , Nat) → Counter' A Γ
  return : A Γ → Counter' A Γ
```

- ▶ `count (return #0) : Counter' (1x Nat) Γ`
- ▶ But what if we want to return a constant?

Numeric effect operations

```
data M (A : Context → Set) (Γ : Context) : Set where
  increment : M A Γ → M A Γ
  count      : M A (Γ , Nat) → M A Γ
  nat        : Nat → M A (Γ , Nat) → M A Γ
  plus       : lx Nat Γ → lx Nat Γ → M A (Γ , Nat) → M A Γ
  return     : A Γ → M A Γ
```

- ▶ `count (return #0) : M (lx Nat) Γ`
- ▶ `count (nat 0 (return #0)) : M (lx Nat) Γ`

Further developments

In the paper:

- ▶ First-order signatures
- ▶ Monad structure
- ▶ Pretty printing
- ▶ Compiling conditionals
- ▶ Dead code elimination

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Not in the paper:

- ▶ Scoped operations, such as loops
- ▶ Equations and correctness proofs
- ▶ Formalization of monad structure
- ▶ Register allocation

Conclusion

- ▶ Algebraic Effects embeddings use functions as continuations
- ▶ Hinders analysis and transformation
- ▶ We propose to use explicit variable binding
- ▶ Moving from **Set** to **Context** $\rightarrow$ **Set** (presheaf over renamings)
- ▶ Many properties including the free monad construction are easily generalized
- ▶ Treat every language construct as an effect operation
- ▶ A basis for a verified compiler

Thank you!