A Stateful Time-Aware Operational Semantics for Temporal Resources

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# Plan for the talk

#### Motivation

- Core calculus for temporal resources
- Stateful time-aware operational semantics
- Equational soundness

### Motivation

```
let (body, door, windshield) = disassemble (car) in
let (body', door') = paint (body, door) in
delay \tau_{dry}
assemble (body', door', windshield)
```

### Motivation

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```

# Core Calculus

### Core calculus

Based on:

D. Ahman. *When programs have to watch paint dry*, FoSSaCS (2023) 1-23.

Value V, W ::= x variable  $\mid f(V_1, ..., V_n)$  constant  $\mid () \mid (V, W)$  unit and pair  $\mid fun (x : X) \mapsto M$  function

**Effect handler**  $H ::= (x \cdot k \cdot M_{op})_{op \in \mathcal{O}}$  operation clauses

# Core calculus

#### Computation

	$unbox_{[\tau]X} V as x in N$	unboxing
	$box_{[\tau] X} V \text{ as } x \text{ in } N$	boxing up
	delay $\tau M$	time delay operation
	handle $M$ with $H$ to $z$ in $N$	effect handling
	op $V(x \cdot M)$	algebraic operation call
	match V with $\{(x, y) \mapsto N\}$	product elimination
	VW	function application
	let $x = M$ in $N$	sequential composition
M, N ::=	= return V	returning a value

Core calculus - Types

**Time grade:**  $\tau \in \mathbb{N}$ 

**Ground type** A, B, C := b | unit |  $A \times B$  | [ $\tau$ ] A

**Value type**  $X, Y, Z ::= A \mid X \times Y \mid X \to Y ! \tau \mid [\tau] X$ 

**Computation type:**  $X \mid \tau$ 

(Variable) context  $\Gamma ::= \emptyset | \Gamma, x : X | \Gamma, \langle \tau \rangle$ 

Important rules

Let  

$$\Gamma \vdash M : X ! \tau$$
  $\Gamma, \langle \tau \rangle, x : X \vdash N : Y ! \tau'$ 

 $\Gamma \vdash \mathsf{let} \ x = M \mathsf{ in } N : Y \, ! \, \tau + \tau'$ 

Important rules

 $\frac{\overset{\text{LET}}{\Gamma \vdash M : X ! \tau} \quad \Gamma, \langle \tau \rangle, x : X \vdash N : Y ! \tau'}{\Gamma \vdash \text{let } x = M \text{ in } N : Y ! \tau + \tau'}$   $\frac{\overset{\text{OP}}{\Gamma \vdash V : A_{\text{op}}} \quad \Gamma, \langle \tau_{\text{op}} \rangle, x : B_{\text{op}} \vdash M : X ! \tau}{\Gamma \vdash \text{op } V (x . M) : X ! \tau_{\text{op}} + \tau}$ 

DELAY  $\Gamma, \langle \tau \rangle \vdash M : X ! \tau'$ 

 $\Gamma \vdash \text{delay } \tau M : X ! \tau + \tau'$ 

DELAY  $\Gamma, \langle \tau \rangle \vdash M : X ! \tau'$ 

 $\Gamma \vdash \text{delay } \tau M : X ! \tau + \tau'$ 

Box  $\Gamma, \langle \tau \rangle \vdash V : X$   $\Gamma, x : [\tau] X \vdash N : Y ! \tau'$ 

 $\Gamma \vdash \mathsf{box}_{[\tau] X} V \text{ as } x \text{ in } N : Y ! \tau'$ 

DELAY  $\Gamma, \langle \tau \rangle \vdash M : X ! \tau'$ 

 $\Gamma \vdash \text{delay } \tau M : X ! \tau + \tau'$ 

Box  $\Gamma, \langle \tau \rangle \vdash V : X$   $\Gamma, x : [\tau] X \vdash N : Y ! \tau'$ 

 $\Gamma \vdash \mathsf{box}_{[\tau] X} V \text{ as } x \text{ in } N : Y ! \tau'$ 

UNBOX  $\tau \leq \tau_{\Gamma}$   $\Gamma - \tau \vdash V : [\tau] X$   $\Gamma, x : X \vdash N : Y ! \tau'$ 

 $\Gamma \vdash \mathsf{unbox}_{[\tau] X} V \text{ as } x \text{ in } N : Y ! \tau'$ 

### Core calculus - Contexts

Time substraction

$$\begin{split} & \Gamma - 0 \stackrel{\text{def}}{=} \Gamma \\ & \emptyset - \tau_+ \stackrel{\text{def}}{=} \emptyset \\ & (\Gamma, x : X) - \tau_+ \stackrel{\text{def}}{=} \Gamma - \tau_+ \\ & (\Gamma, \langle \tau' \rangle) - \tau_+ \stackrel{\text{def}}{=} \begin{cases} \Gamma, \langle \tau' - \tau_+ \rangle, & \text{if } \tau_+ \leq \tau' \\ \Gamma - (\tau_+ - \tau'), & \text{otherwise} \end{cases} \end{split}$$

Context time

$$\tau_{\emptyset} \stackrel{\scriptscriptstyle \mathrm{def}}{=} 0 \qquad \tau_{(\Gamma\!\!\!, x : X)} \stackrel{\scriptscriptstyle \mathrm{def}}{=} \tau_{\Gamma} \qquad \tau_{(\Gamma\!\!\!, \langle \tau \rangle)} \stackrel{\scriptscriptstyle \mathrm{def}}{=} \tau_{\Gamma} + \tau$$

HANDLE  

$$\Gamma \vdash M : X ! \tau$$

$$\Gamma, \langle \tau \rangle, z : X \vdash N : Y ! \tau' \qquad H = (x \cdot k \cdot M_{op})_{op \in \mathcal{O}}$$

$$(\forall \tau'' \cdot \Gamma, x : A_{op}, k : [\tau_{op}] (B_{op} \to Y ! \tau'') \vdash M_{op} : Y ! \tau_{op} + \tau'')_{op \in \mathcal{O}}$$

 $\Gamma \vdash$  handle *M* with *H* to *z* in *N* : *Y* !  $\tau + \tau'$ 

# Example

```
H := handler {
     | (prepare, body, door, k) \rightarrow (
          let (body',door') = clean (body, door) in
          let (body",door") = paint (body',door') in
          k (body".door")
       )
      | (disassemble, car, k) \rightarrow  let y = disassemble (car) in k y
      | ...
} (* Important thing is that \tau_{clean} + \tau_{paint} = \tau_{prepare} *)
handle (
     let (body, door, windshield) = disassemble (car) in
     let (body', door') = prepare (body, door) in
     let windshield' = clean (windshield) in
     assemble (body', door', windshield)
) with H to car in return car
```

# Renamings and Admissible Rules

# Proposotion

#### Standard structural rules are admissible

$$\frac{\Gamma, \Gamma' \vdash J \qquad x : X \notin \Gamma, \Gamma'}{\Gamma, x : X, \Gamma' \vdash J} \qquad \qquad \frac{\Gamma, x : X, y : Y, \Gamma' \vdash J}{\Gamma, y : Y, x : X, \Gamma' \vdash J} \\
\frac{\Gamma, x : X, x' : X, \Gamma' \vdash J}{\Gamma, x : X, \Gamma' \vdash J[x/x']}$$

# Renamings and Admissible Rules

Proposotion

Additionally, admissible for the time-graded context modalities

$$\frac{\frac{\Gamma, \langle 0 \rangle, \Gamma' \vdash J}{\Gamma, \Gamma' \vdash J}}{\frac{\Gamma, \langle \tau_1 \rangle, \langle \tau_2 \rangle, \Gamma' \vdash J}{\Gamma, \langle \tau_1 \rangle, \langle \tau_2 \rangle, \Gamma' \vdash J}}$$

$$\frac{\frac{\Gamma, \langle \tau \rangle, \Gamma' \vdash J}{\Gamma, \langle \tau' \rangle, \Gamma' \vdash J}}{\frac{\Gamma, \langle \tau \rangle, x : X, \Gamma' \vdash J}{\Gamma, x : X, \langle \tau \rangle, \Gamma' \vdash J}}$$

Renamings and Admissible Rules

$$\operatorname{\mathsf{Ren}}\,\Gamma\,\Gamma'\stackrel{\operatorname{def}}{=} \left\{ \begin{array}{ll} \tau_{\Gamma} \leq \tau_{\Gamma'} \\ \text{and} \\ \rho: \mathit{vars}(\Gamma) \to \mathit{vars}(\Gamma') \mid \quad \forall (x \colon X \in \Gamma). \ \rho(x) \colon X \in \Gamma' \\ \text{and} \\ \tau_{\Gamma_{\!\! x,2}} \leq \tau_{\Gamma'_{\!\rho(x),2}} \end{array} \right\}$$

Note:  $\Gamma = \Gamma_{x,1}, x : X, \Gamma_{x,2}$ 

# Stateful Time-Aware Operational Semantics $\langle \mathbb{S} \mid M \rangle \rightsquigarrow \langle \mathbb{S}' \mid M' \rangle$

Stateful Time-Aware Operational Semantics - States

States:

$$\mathbb{S} ::= \emptyset \mid \mathbb{S}, \langle \tau \rangle \mid \mathbb{S}, x \mapsto_{[\tau] X} V$$

Stateful Time-Aware Operational Semantics - States

States:

$$\mathbb{S} \, ::= \, \emptyset \, \left| \begin{array}{c} \mathbb{S}, \langle \tau \rangle \end{array} \right| \, \mathbb{S}, x \mapsto_{[\tau] \, X} V$$

#### **Operations on states:**

$$S - \tau$$

$$\tau_{S}$$

$$\Gamma_{S} \stackrel{\text{def}}{=} \begin{cases} \emptyset, & \text{if } S = \emptyset \\ \Gamma_{S'}, \langle \tau \rangle, & \text{if } S = S', \langle \tau \rangle \\ \Gamma_{S'}, x : [\tau] X, & \text{if } S = S', x \mapsto_{[\tau] X} V \end{cases}$$

Stateful Time-Aware Operational Semantics - States

Proposotion If  $x : X \in \Gamma_{\mathbb{S}}$ , then

• 
$$X = [\tau] Y$$
 for some  $\tau$  and  $Y$ , and

• 
$$x \mapsto_{[\tau] Y} V \in \mathbb{S}$$
, for some  $V$ .

#### Proposotion

- For all S and  $\tau$ , we have  $\Gamma_{S-\tau} = \Gamma_S \tau$ .
- For all S and S', we have  $\Gamma_{S,S'} = \Gamma_S, \Gamma_{S'}$ .

• For all S, we have 
$$\tau_{\Gamma_S} = \tau_S$$
.

For all S and S', we have 
$$\tau_{S,S'} = \tau_S + \tau_{S'}$$
.

**Small-step reduction relation**  $\langle \mathbb{S} | M \rangle \rightsquigarrow \langle \mathbb{S}' | M' \rangle$ .

**Small-step reduction relation**  $\langle \mathbb{S} | M \rangle \rightsquigarrow \langle \mathbb{S}' | M' \rangle$ .

Sem-Let-Cong

 $\langle \mathbb{S} \mid M \rangle \rightsquigarrow \langle \mathbb{S}' \mid M' \rangle$ 

$$\langle \mathbb{S} \mid \mathsf{let} \; x = M \; \mathsf{in} \; N \rangle \rightsquigarrow \langle \mathbb{S}' \mid \mathsf{let} \; x = M' \; \mathsf{in} \; N \rangle$$

SEM-LET-RET

 $\langle \mathbb{S} \mid \mathsf{let} \ x = (\mathsf{return} \ V) \ \mathsf{in} \ N \rangle \rightsquigarrow \langle \mathbb{S} \mid N[V/x] \rangle$ 

SEM-LET-OP

 $\langle \mathbb{S} | \text{ let } x = (\text{op } V (y . M)) \text{ in } N \rangle \rightsquigarrow \langle \mathbb{S} | \text{ op } V (y . \text{ let } x = M \text{ in } N) \rangle$ 

Sem-Delay

#### $\langle \mathbb{S} \mid \text{delay } \tau M \rangle \rightsquigarrow \langle \mathbb{S}, \langle \tau \rangle \mid M \rangle$

Sem-Box

 $\langle \mathbb{S} \mid \mathsf{box}_{[\tau] X} V \text{ as } x \text{ in } N \rangle \rightsquigarrow \langle \mathbb{S}, x \mapsto_{[\tau] X} V \mid N \rangle$ 

Sem-Unbox

 $y \in \mathbb{S}$ 

 $\langle \mathbb{S} \mid \mathsf{unbox}_{[\tau] X} y \text{ as } x \text{ in } N \rangle \rightsquigarrow \langle \mathbb{S} \mid N[\mathbb{S}[y]/x] \rangle$ 

$$\mathbb{S}[x] \stackrel{\text{def}}{=} \begin{cases} V, & \text{if } \mathbb{S} = \mathbb{S}', x \mapsto_{[\tau] X} V \\ \mathbb{S}'[x], & \text{if } \mathbb{S} = \mathbb{S}', \langle \tau \rangle \text{ or } \mathbb{S} = \mathbb{S}', y \mapsto_{[\tau] X} V \text{ and } x \neq y \\ \text{undefined}, & \text{if } \mathbb{S} = \emptyset \end{cases}$$

SEM-HANDLE-OP

$$H = (x \cdot k \cdot M_{\mathsf{op}})_{\mathsf{op} \in \mathcal{O}}$$

 $\langle \mathbb{S} | \text{handle (op } V (y . M)) \text{ with } H \text{ to } z \text{ in } N \rangle \rightsquigarrow$  $\langle \mathbb{S} | \text{box (fun } (y : B_{op}) \mapsto \text{handle } M \text{ with } H \text{ to } z \text{ in } N)$ as  $w \text{ in } M_{op}[V/x, w/k] \rangle$ 

$$\begin{split} \Gamma \vdash M : X &! \tau \\ \Gamma, \langle \tau \rangle, z : X \vdash N : Y &! \tau' \qquad H = (x \cdot k \cdot M_{\mathsf{op}})_{\mathsf{op} \in \mathcal{O}} \\ \left( \forall \tau'' \cdot \Gamma, x : A_{\mathsf{op}}, k : [\tau_{\mathsf{op}}] & (B_{\mathsf{op}} \to Y &! \tau'') \vdash M_{\mathsf{op}} : Y &! \tau_{\mathsf{op}} + \tau'' \right)_{\mathsf{op} \in \mathcal{O}} \end{split}$$

 $\Gamma \vdash$  handle M with H to z in  $N : Y ! \tau + \tau'$ 

# Type Safety

Stateful Time-Aware Operational Semantics - Progress

Theorem (Progress theorem) *If*  $\vdash$   $\mathbb{S}$  *and*  $\Gamma_{\mathbb{S}} \vdash M : X ! \tau$ *, then either* 

▶ *M* is in a result form, or

• we can make step  $(\mathbb{S} | M) \rightsquigarrow (\mathbb{S}' | M')$ , for some  $\mathbb{S}'$  and M'.

Result form is either an operation call or a returned value.

# Stateful Time-Aware Operational Semantics - **Preservation**

Theorem (Preservation theorem) If  $\vdash S$  and  $\Gamma_S \vdash M : X ! \tau$ , and if  $\langle S | M \rangle \rightsquigarrow \langle S' | M' \rangle$ , for some S' and M', then

 $\blacktriangleright \vdash S',$ 

• there exists a  $\tau'$ , such that  $\tau_{\mathbb{S}} + \tau = \tau_{\mathbb{S}'} + \tau'$ , and

 $\blacktriangleright \ \Gamma_{\mathbb{S}'} \vdash M' : X \, ! \, \tau'.$ 

# Equational Soundness $\langle \mathbb{S} \mid M \rangle \rightsquigarrow \langle \mathbb{S}' \mid M' \rangle$ $\Downarrow$ $\vdash \mathbb{K}_{\mathbb{S}}[M] \equiv \mathbb{K}_{\mathbb{S}'}[M'] : X ! (\tau_{\mathbb{S}} + \tau)$

**Equations** for well-typed terms:

 $\Gamma \vdash V \equiv W : X \qquad \qquad \Gamma \vdash M \equiv N : X \, ! \, \tau.$ 

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We have:

- congruence rules
- ▶ standard β-equations and η-equations for the non-modal  $\lambda_{[\tau]}$ -values and  $\lambda_{[\tau]}$ -computations as in FGCBV

standard equations for computation terms (let, handle)

standard equations for computation terms (let, handle)

handle (return *V*) with *H* to *z* in  $N \equiv N[V/z]$
Equational Soundness - Equational theory

► standard equations for computation terms (let, handle) handle (return V) with H to z in  $N \equiv N[V/z]$ 

handle (op  $V(y \cdot M)$ ) with H to z in  $N \equiv$ 

box (fun  $(y: B_{op}) \mapsto$  handle M with H to z in N)

as w in  $M_{op}[V/x, w/k]$ ,

where  $H = (x \cdot k \cdot M_{op})_{op \in \mathcal{O}}$  and  $y \notin fv(H), y \notin fv(N)$ 

Equational Soundness - Equational theory

equations describing interactions of delay

let  $x = (\text{delay } \tau M)$  in  $N \equiv$ delay  $\tau$  (let x = M in N)

handle (delay  $\tau$  *M*) with *H* to *z* in *N*  $\equiv$ delay  $\tau$  (handle *M* with *H* to *z* in *N*)

delay  $0 M \equiv M$ 

delay  $\tau$  (delay  $\tau' M$ )  $\equiv$  delay ( $\tau + \tau'$ ) M

 equations describing behaviour of box and unbox (displayed later)

Computational context  $\mathbb{K} ::= []$   $| \text{ op } V (x . \mathbb{K})$   $| \text{ delay } \tau \mathbb{K}$   $| \text{ box}_{[\tau] X} V \text{ as } x \text{ in } \mathbb{K}$  $| \text{ unbox}_{[\tau] X} V \text{ as } x \text{ in } \mathbb{K}$ 

Comp. context time:  $\tau_{\mathbb{K}}$ Bounded variables:  $\Gamma_{\!\mathbb{K}}$ 

**Composition** operation:  $\mathbb{K}[\mathbb{K}']$ 

**Hole filling** operation:  $\mathbb{K}[M]$ 

Proposotion

• If 
$$\Gamma \vdash \mathbb{K} : \tau$$
 and  $\Gamma, \Gamma_{\mathbb{K}} \vdash \mathbb{K}' : \tau'$ , then  $\Gamma \vdash \mathbb{K}[\mathbb{K}'] : \tau + \tau'$ .

• If  $\Gamma \vdash \mathbb{K} : \tau$  and  $\Gamma, \Gamma_{\mathbb{K}} \vdash M : X ! \tau'$ , then  $\Gamma \vdash \mathbb{K}[M] : X ! \tau + \tau'$ .

#### Judgements are polymorphic in type of return values!

Equational soundness - Equational theory

Equations of box and unbox:

let  $x = (box_{[\tau]X} V as y in M)$  in  $N \equiv box_{[\tau]X} V as y$  in (let x = M in N)

let  $x = (\text{unbox}_{[\tau]X} V \text{ as } y \text{ in } M) \text{ in } N \equiv$  $\text{unbox}_{[\tau]X} V \text{ as } y \text{ in } (\text{let } x = M \text{ in } N)$ 

handle  $(box_{[\tau]X} V as y in M)$  with H to z in  $N \equiv box_{[\tau]X} V as y$  in (handle M with H to z in N)

handle  $(\text{unbox}_{[\tau]X} V \text{ as } y \text{ in } M)$  with H to z in  $N \equiv$  $\text{unbox}_{[\tau]X} V \text{ as } y$  in (handle M with H to z in N)

(with  $y \notin fv(N)$  in all four equations)

Equational soundness - Equational theory

Equations of box and unbox:

 $\begin{aligned} \mathsf{box}_{[\tau] X} \ V \ \mathsf{as} \ x \ \mathsf{in} \ (\mathsf{box}_{[\tau'] Y} \ W \ \mathsf{as} \ y \ \mathsf{in} \ N) \equiv \\ \mathsf{box}_{[\tau'] Y} \ W \ \mathsf{as} \ y \ \mathsf{in} \ (\mathsf{box}_{[\tau] X} \ V \ \mathsf{as} \ x \ \mathsf{in} \ N) \end{aligned}$ 

 $\begin{aligned} \mathsf{unbox}_{[\tau] X} \ V \ \text{as } x \ \text{in} \ (\mathsf{unbox}_{[\tau'] X'} \ W \ \text{as } y \ \text{in} \ N) \equiv \\ \mathsf{unbox}_{[\tau'] X'} \ W \ \text{as } y \ \text{in} \ (\mathsf{unbox}_{[\tau] X} \ V \ \text{as } x \ \text{in} \ N) \end{aligned}$ 

 $\begin{aligned} \mathsf{box}_{[\tau] X} \ V \ \mathsf{as} \ x \ \mathsf{in} \ (\mathsf{unbox}_{[\tau'] X'} \ W \ \mathsf{as} \ y \ \mathsf{in} \ N) \equiv \\ \mathsf{unbox}_{[\tau'] X'} \ W \ \mathsf{as} \ y \ \mathsf{in} \ (\mathsf{box}_{[\tau] X} \ V \ \mathsf{as} \ x \ \mathsf{in} \ N) \end{aligned}$ 

(with  $x \notin fv(W), y \notin fv(V)$  in all three equations)

Equational soundness - Equational theory

Equations of box and unbox:

 $\begin{array}{l} \mathsf{box}_{[\tau] \ X} \ V \text{ as } x \text{ in } \mathbb{K}[\mathsf{unbox}_{[\tau] \ X} \ x \text{ as } y \text{ in } N] \equiv \\ \mathsf{box}_{[\tau] \ X} \ V \text{ as } x \text{ in } \mathbb{K}[N[V/y]] \quad (\tau_{\mathbb{K}} \geq \tau) \end{array}$ 

 $box_{[\tau] X} V as x in N \equiv N$   $(x \notin fv(N))$ 

 $unbox_{[\tau]X} V as x in N \equiv N$   $(x \notin fv(N))$ 

 $\begin{aligned} \mathsf{unbox}_{[\tau] X} \ V \ \text{as} \ x \ \text{in} \ (\mathsf{unbox}_{[\tau] X} \ V \ \text{as} \ y \ \text{in} \ N) \equiv \\ \mathsf{unbox}_{[\tau] X} \ V \ \text{as} \ x \ \text{in} \ N[x/y] \end{aligned}$ 

**Proposotion** *If*  $\Gamma \vdash \mathbb{K} : \tau$  *and*  $\Gamma$ ,  $\Gamma_{\mathbb{K}} \vdash M \equiv N : X ! \tau'$ , *then we have* 

 $\Gamma \vdash \mathbb{K}[M] \equiv \mathbb{K}[N] : X \, ! \, \tau + \tau'.$ 

### Proposotion

If  $\Gamma \vdash \mathbb{K} : \tau$  and  $\Gamma$ ,  $\Gamma_{\mathbb{K}} \vdash M : X ! \tau'$  and  $\Gamma$ ,  $\langle \tau + \tau' \rangle$ ,  $x : X \vdash N : Y ! \tau''$ , then we have the algebraicity equation

 $\Gamma \vdash \mathsf{let} \ x = \mathbb{K}[M] \ \mathsf{in} \ N \equiv \mathbb{K}[\mathsf{let} \ x = M \ \mathsf{in} \ N] : Y \, ! \, \tau + \tau' + \tau''.$ 

Translation from state to computational context:

$$\mathbb{K}_{\mathbb{S}} \stackrel{\text{def}}{=} \begin{cases} [\ ], & \text{if } \mathbb{S} = \emptyset \\ \mathbb{K}_{\mathbb{S}'}[\text{delay } \tau \ [\ ]], & \text{if } \mathbb{S} = \mathbb{S}', \langle \tau \rangle \\ \mathbb{K}_{\mathbb{S}'}[\text{box}_{[\tau] \, X} \, V \text{ as } x \text{ in } [\ ]], & \text{if } \mathbb{S} = \mathbb{S}', x \mapsto_{[\tau] \, X} V \end{cases}$$

Translation from state to computational context:

$$\mathbb{K}_{\mathbb{S}} \stackrel{\text{def}}{=} \begin{cases} [\ ], & \text{if } \mathbb{S} = \emptyset \\ \mathbb{K}_{\mathbb{S}'}[\text{delay } \tau \ [\ ]], & \text{if } \mathbb{S} = \mathbb{S}', \langle \tau \rangle \\ \mathbb{K}_{\mathbb{S}'}[\text{box}_{[\tau] X} V \text{ as } x \text{ in } [\ ]], & \text{if } \mathbb{S} = \mathbb{S}', x \mapsto_{[\tau] X} V \end{cases}$$

#### Proposotion

► For all S and S', we have  $\mathbb{K}_{S,S'} = \mathbb{K}_S[\mathbb{K}_{S'}]$  and  $\Gamma_{\mathbb{K}_S} = \Gamma_S$ .

$$\blacktriangleright \Rightarrow If \mathbb{S} = \mathbb{S}', x \mapsto_{[\tau] X} V, \mathbb{S}'', \text{ then we have} \\ \mathbb{K}_{\mathbb{S}} = \mathbb{K}_{\mathbb{S}'}[\mathsf{box}_{[\tau] X} V \text{ as } x \text{ in } \mathbb{K}_{\mathbb{S}''}].$$

Theorem *If*  $\vdash S$  *and*  $\Gamma_S \vdash M : X ! \tau$  *and*  $\langle S | M \rangle \rightsquigarrow \langle S' | M' \rangle$ *, then* 

 $\vdash \mathbb{K}_{\mathbb{S}}[M] \equiv \mathbb{K}_{\mathbb{S}'}[M'] : X ! (\tau_{\mathbb{S}} + \tau).$ 

Theorem If  $\vdash \mathbb{S}$  and  $\Gamma_{\mathbb{S}} \vdash M : X ! \tau$  and  $\langle \mathbb{S} | M \rangle \rightsquigarrow \langle \mathbb{S}' | M' \rangle$ , then  $\vdash \mathbb{K}_{\mathbb{S}}[M] \equiv \mathbb{K}_{\mathbb{S}'}[M'] : X ! (\tau_{\mathbb{S}} + \tau).$ 

Almost works ...

Case SEM-LET-CONG

We have:

 $\blacktriangleright M \rightsquigarrow M'$ 

$$\blacktriangleright \Rightarrow \vdash \mathbb{K}_{\mathbb{S}}[M] \equiv \mathbb{K}_{\mathbb{S}'}[M']$$

(induction hypothesis)

$$\blacktriangleright \Rightarrow \vdash \mathbb{K}_{\mathbb{S}}[M] \equiv \mathbb{K}_{\mathbb{S}}[\mathbb{K}_{\mathbb{S}''}[M']]$$

Case SEM-LET-CONG

We have:

 $\blacktriangleright M \rightsquigarrow M'$ 

►  $\Rightarrow$   $\vdash$   $\mathbb{K}_{\mathbb{S}}[M] \equiv \mathbb{K}_{\mathbb{S}'}[M']$  (induction hypothesis)

$$\blacktriangleright \Rightarrow \vdash \mathbb{K}_{\mathbb{S}}[M] \equiv \mathbb{K}_{\mathbb{S}}[\mathbb{K}_{\mathbb{S}''}[M']]$$

We want:

 $\blacktriangleright \vdash \mathbb{K}_{\mathbb{S}}[\mathsf{let} \ x = M \text{ in } N] \equiv \mathbb{K}_{\mathbb{S}'}[\mathsf{let} \ x = M' \text{ in } N]$ 

 $\blacktriangleright \iff \vdash \mathbb{K}_{\mathbb{S}}[\mathsf{let} \ x = M \text{ in } N] \equiv \mathbb{K}_{\mathbb{S}}[\mathsf{let} \ x = \mathbb{K}_{\mathbb{S}''}[M'] \text{ in } N]$ 

 $\blacktriangleright \leftarrow \Gamma_{\mathbb{K}_{\mathfrak{S}}} \vdash \mathsf{let} \; x = M \; \mathsf{in} \; N \equiv \mathsf{let} \; x = \mathbb{K}_{\mathfrak{S}''}[M'] \; \mathsf{in} \; N$ 

We are stuck with  $\Gamma_{\mathbb{K}_{\mathbb{S}}} \vdash M \equiv \mathbb{K}_{\mathbb{S}''}[M']$ 

Equational soundness - Evaluation context

**Evaluation context**  $\mathbb{E}$  ::= []

| let  $x = \mathbb{E}$  in N

handle  $\mathbb{E}$  with H to z in N

Equational soundness - Evaluation context

 $\mathbb{E} ::= [] \mid \text{let } x = \mathbb{E} \text{ in } N \mid \text{handle } \mathbb{E} \text{ with } H \text{ to } z \text{ in } N$ 

Proposition If  $\Gamma \vdash_{[X!\tau]} \mathbb{E} : Y ! \tau'$  and  $\Gamma \vdash M \equiv N : X ! \tau$ , then

 $\Gamma \vdash \mathbb{E}[M] \equiv \mathbb{E}[N] : Y \,!\, \tau'.$ 

Equational soundness - Evaluation context

 $\mathbb{E} ::= [] \mid \text{let } x = \mathbb{E} \text{ in } N \mid \text{handle } \mathbb{E} \text{ with } H \text{ to } z \text{ in } N$ 

# Proposotion If $\Gamma \vdash_{[X!\tau]} \mathbb{E} : Y ! \tau'$ and $\Gamma \vdash M \equiv N : X ! \tau$ , then $\Gamma \vdash \mathbb{E}[M] \equiv \mathbb{E}[N] : Y ! \tau'.$

#### Proposotion

*If*  $\Gamma \vdash_{[Y!\tau']} \mathbb{E} : Z ! \tau''$  *and*  $\Gamma - \tau \vdash V : [\tau] X$  *and*  $\Gamma, x : X \vdash N : Y ! \tau'$ , *then we have the equation* 

 $\Gamma \vdash \mathbb{E}[\mathsf{unbox}_{[\tau] X} V \text{ as } x \text{ in } N] \equiv \mathsf{unbox}_{[\tau] X} V \text{ as } x \text{ in } \mathbb{E}[N] : Z ! \tau'',$ 

and similarly for box and delay.

### Theorem

If

- $\blacktriangleright \vdash S$ , and
- $\blacktriangleright$   $\Gamma_{\mathbb{S}} \vdash M : X ! \tau$ , and

 $\blacktriangleright \ \langle \mathbb{S} \mid M \rangle \rightsquigarrow \langle \mathbb{S}' \mid M' \rangle, \text{ for some } \mathbb{S}' \text{ and } M', \text{ with } \mathbb{S}' = \mathbb{S}, \mathbb{S}'',$ 

then for every evaluation context  $\Gamma_{\mathbb{S}} \vdash_{[X!\tau]} \mathbb{E} : Y ! \tau'$ , we have

$$\vdash \mathbb{K}_{\mathbb{S}}[\mathbb{E}[M]] \equiv \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\mathbb{K}_{\mathbb{S}''}[M']]] : Y ! (\tau_{\mathbb{S}} + \tau').$$

 $\blacktriangleright$  M = let x = N in P and M' = let x = N' in P

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$$\blacktriangleright \langle \mathbb{S} \mid N \rangle \rightsquigarrow \langle \mathbb{S}' \mid N' \rangle$$

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 $\blacktriangleright \Rightarrow \forall \mathbb{E}' . \vdash \mathbb{K}_{\mathbb{S}}[\mathbb{E}'[N]] \equiv \mathbb{K}_{\mathbb{S}}[\mathbb{E}'[\mathbb{K}_{\mathbb{S}''}[N']]] : Z ! (\tau_{\mathbb{S}} + \tau'') \quad (I. H.)$ 

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 $\blacktriangleright \mathbb{E}' \stackrel{\text{def}}{=} \mathbb{E}[\text{let } x = [] \text{ in } P]$ 

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- $\blacktriangleright \mathbb{E}' \stackrel{\text{def}}{=} \mathbb{E}[\text{let } x = [] \text{ in } P]$
- $\blacktriangleright \Rightarrow \vdash \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\mathsf{let } x = N \mathsf{ in } P]] \equiv \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\mathsf{let } x = \mathbb{K}_{\mathbb{S}''}[N'] \mathsf{ in } P]]:$  $Y ! (\tau_{\mathbb{S}} + \tau')$
- $\blacktriangleright \Rightarrow \vdash \mathbb{K}_{\mathbb{S}}[\mathbb{E}[M]] \equiv \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\mathbb{K}_{\mathbb{S}''}[M']]] : Y ! (\tau_{\mathbb{S}} + \tau')$

SEM-DELAY

- $M = \text{delay } \tau_1 M'$
- $\blacktriangleright \Rightarrow \mathbb{K}_{\mathbb{S}''} = \text{delay } \tau_1 \; [\;]$
- $\blacktriangleright \Rightarrow \vdash \mathbb{K}_{\mathbb{S}}[\mathbb{E}[M]] \equiv \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\text{delay } \tau_1 M']] \equiv \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\mathbb{K}_{\mathbb{S}''}[M']]]:$  $Y ! (\tau_{\mathbb{S}} + \tau')$

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Sem-Box

•  $M = box_{[\tau'']X'} V as x in M'$ 

$$\blacktriangleright \Rightarrow \mathbb{K}_{\mathbb{S}''} = \mathsf{box}_{[\tau'']X'} \ V \text{ as } x \text{ in } []$$

 $\Rightarrow \vdash \mathbb{K}_{\mathbb{S}}[\mathbb{E}[M]] \equiv \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\mathsf{box}_{[\tau'']X'} \ V \text{ as } x \text{ in } M']] \equiv \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\mathbb{K}_{\mathbb{S}''}[M']]] : Y ! (\tau_{\mathbb{S}} + \tau')$ 

•  $M = \text{unbox}_{[\tau'']X'} y \text{ as } x \text{ in } N \text{ and } M' = N[\mathbb{S}[y]/x] \text{ and } \mathbb{S}' = \mathbb{S}$ 

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- ►  $\Rightarrow$  y:  $[\tau'']$   $X' \in \Gamma_{\mathbb{S}} \tau''$  and  $\Gamma_{\mathbb{S}}, x$ :  $X' \vdash N$ :  $[\tau]$  X (inversion)

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$$\blacktriangleright \ \Gamma_{\mathbb{S}} = (\Gamma_{\mathbb{S}})_{y,1}, y : [\tau''] X', (\Gamma_{\mathbb{S}})_{y,2}$$

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- $\blacktriangleright \Rightarrow \mathbb{S} = \mathbb{S}_{y,1}, y \mapsto_{[\tau''] X'} \mathbb{S}[y], \mathbb{S}_{y,2}$

 $\blacktriangleright \mathbb{K}_{\mathbb{S}''} = [\ ]$ 

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\vdash \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\mathsf{unbox}_{[\tau'']X'} y \text{ as } x \text{ in } N]]
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- $\vdash \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\text{unbox}_{[\tau'']X'} y \text{ as } x \text{ in } N]]$
- $\equiv \mathbb{K}_{\mathbb{S}}[\mathsf{unbox}_{[\tau'']X'} y \text{ as } x \text{ in } \mathbb{E}[N]]$

- $\vdash \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\mathsf{unbox}_{[\tau'']X'} y \text{ as } x \text{ in } N]]$
- $\equiv \mathbb{K}_{\mathbb{S}}[\mathsf{unbox}_{[\tau'']X'} y \text{ as } x \text{ in } \mathbb{E}[N]]$
- $\equiv \mathbb{K}_{\mathbb{S}_{y,1}}[\mathsf{box}_{[\tau'']\,X'}\,\mathbb{S}[y] \text{ as } y \text{ in } \mathbb{K}_{\mathbb{S}_{y,2}}[\mathsf{unbox}_{[\tau'']\,X'}\,y \text{ as } x \text{ in } \mathbb{E}[N]]]$

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Proof of soundness theorem - continuation.

- $\vdash \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\text{unbox}_{[\tau'']X'} y \text{ as } x \text{ in } N]]$
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- $\equiv \mathbb{K}_{\mathbb{S}}[\mathbb{E}[N[\mathbb{S}[y]/x]]]$
- $\equiv \mathbb{K}_{\mathbb{S}}[\mathbb{E}[\mathbb{K}_{\mathbb{S}''}[M']]]: Y \, ! \, (\tau_{\mathbb{S}} + \tau')$

## Equational soundness - Soundness theorem

Take  $\mathbb{E} = []$  and we get soundness theorem as a colloraly.

Theorem *If*  $\vdash$   $\mathbb{S}$  *and*  $\Gamma_{\mathbb{S}} \vdash M : X ! \tau$  *and*  $\langle \mathbb{S} | M \rangle \rightsquigarrow \langle \mathbb{S}' | M' \rangle$ *, then* 

$$\vdash \mathbb{K}_{\mathbb{S}}[M] \equiv \mathbb{K}_{\mathbb{S}'}[M'] : X ! (\tau_{\mathbb{S}} + \tau).$$

## Future work

- Normalization
- Adequacy
- Concurrency
- Finite loops

# Appendix

## Typing rules for $\lambda_{[\tau]}$

$VAR \\ x : X \in \Gamma$	Const (Γ⊢	$V_i: \mathbf{b}_i)_{1 \le i \le n}$	PAIR $\Gamma \vdash V : X$	$\Gamma \vdash W : Y$
$\overline{\Gamma \vdash x : X} \qquad \overline{\Gamma \vdash f(V)}$		$(1,\ldots,V_n):\mathbf{b}$ $\Gamma\vdash(V,W):$		$W): X \times Y$
Unit		Fun $\Gamma, x: X \vdash M: Y ! \tau$		
$\Gamma \vdash () : unit$		$\Gamma \vdash fun \ (x:X) \mapsto M: X \to Y ! \tau$		
$\begin{array}{c} \text{Return} \\ \Gamma \vdash V \end{array}$	: X	Let $\Gamma \vdash M : X ! \tau$	$\Gamma, \langle \tau \rangle, x : \Sigma$	$K \vdash N : Y ! \tau'$
$\Gamma \vdash return \ V : X ! 0$		$\Gamma \vdash let \ x = M \text{ in } N : Y ! \tau + \tau'$		

Typing rules for  $\lambda_{[\tau]}$ 

 $\begin{array}{ll} \text{APPLY} \\ \Gamma \vdash V : X \to Y \, ! \, \tau & \Gamma \vdash W : X \end{array}$ 

#### $\Gamma \vdash VW : \Upsilon ! \tau$

MATCH  $\Gamma \vdash V : X \times Y$   $\Gamma, x : X, y : Y \vdash N : Z ! \tau$ 

 $\Gamma \vdash \text{match } V \text{ with } \{(x, y) \mapsto N\} : Z ! \tau$ 

$$\begin{array}{l} \mathbb{OP} \\ \Gamma \vdash V : A_{\mathsf{op}} \end{array} \quad \quad \Gamma, \langle \tau_{\mathsf{op}} \rangle, x : B_{\mathsf{op}} \vdash M : X \mathrel{!} \tau \end{array}$$

 $\Gamma \vdash \mathsf{op} \ V \ (x \cdot M) : X ! \tau_{\mathsf{op}} + \tau$ 

Typing rules for  $\lambda_{[\tau]}$ 

DELAY  $\Gamma, \langle \tau \rangle \vdash M : X ! \tau'$ 

 $\Gamma \vdash \text{delay } \tau M : X ! \tau + \tau'$ 

HANDLE

$$\begin{split} & \Gamma \vdash M : X ! \tau \\ & \Gamma, \langle \tau \rangle, z : X \vdash N : Y ! \tau' \qquad H = (x \cdot k \cdot M_{\mathsf{op}})_{\mathsf{op} \in \mathcal{O}} \\ & \left( \forall \tau'' \cdot \Gamma, x : A_{\mathsf{op}}, k : [\tau_{\mathsf{op}}] \left( B_{\mathsf{op}} \to Y ! \tau'' \right) \vdash M_{\mathsf{op}} : Y ! \tau_{\mathsf{op}} + \tau'' \right)_{\mathsf{op} \in \mathcal{O}} \end{split}$$

 $\Gamma \vdash$  handle *M* with *H* to *z* in *N* : *Y* !  $\tau + \tau'$ 

Typing rules for  $\lambda_{[\tau]}$ 



**Well-formed states**  $\Gamma \vdash \mathbb{S}$ :

#### Small-step reduction relation

Sem-App

$$\langle \mathbb{S} \mid (\mathsf{fun}\ (x : X) \mapsto M) \ V \rangle \rightsquigarrow \langle \mathbb{S} \mid M[V/x] \rangle$$

Sem-Match

 $\langle \mathbb{S} | \text{match} (V, W) \text{ with } \{(x, y) \mapsto N\} \rangle \rightsquigarrow \langle \mathbb{S} | N[V/x, W/y] \rangle$ 

Sem-Let-Cong  $\langle \mathbb{S} | M \rangle \rightsquigarrow \langle \mathbb{S}' | M' \rangle$ 

 $\langle \mathbb{S} \mid \mathsf{let} \ x = M \mathsf{in} \ N \rangle \rightsquigarrow \langle \mathbb{S}' \mid \mathsf{let} \ x = M' \mathsf{in} \ N \rangle$ 

SEM-LET-RET

 $\langle \mathbb{S} \mid \text{let } x = (\text{return } V) \text{ in } N \rangle \rightsquigarrow \langle \mathbb{S} \mid N[V/x] \rangle$ 

#### Small-step reduction relation

SEM-LET-OP

 $\langle \mathbb{S} \mid \mathsf{let} \ x = (\mathsf{op} \ V \ (y \ . \ M)) \ \mathsf{in} \ N \rangle \rightsquigarrow \langle \mathbb{S} \mid \mathsf{op} \ V \ (y \ . \ \mathsf{let} \ x = M \ \mathsf{in} \ N) \rangle$ 

Sem-Handle-Cong  $\langle \mathbb{S} \mid M \rangle \rightsquigarrow \langle \mathbb{S}' \mid M' \rangle$ 

 $\langle \mathbb{S} \mid \mathsf{handle} \ M \ \mathsf{with} \ H \ \mathsf{to} \ z \ \mathsf{in} \ N \rangle \rightsquigarrow \\ \langle \mathbb{S}' \mid \mathsf{handle} \ M' \ \mathsf{with} \ H \ \mathsf{to} \ z \ \mathsf{in} \ N \rangle$ 

SEM-HANDLE-RET

 $\langle \mathbb{S} | \text{handle (return } V) \text{ with } H \text{ to } z \text{ in } N \rangle \rightsquigarrow$  $\langle \mathbb{S} | N[V/z] \rangle$ 

#### Small-step reduction relation

SEM-DELAY SEM-DELAY SEM-BOX  $\langle \mathbb{S} | \text{delay } \tau M \rangle \rightsquigarrow$   $\langle \mathbb{S}, \langle \tau \rangle | M \rangle$ SEM-UNBOX  $\psi \in \mathbb{S}$ 

 $\langle \mathbb{S} \mid \mathsf{unbox}_{[\tau] X} y \text{ as } x \text{ in } N \rangle \rightsquigarrow \\ \langle \mathbb{S} \mid N[\mathbb{S}[y]/x] \rangle$ 

Proposotion *If*  $\vdash \mathbb{S}$  *and*  $x : [\tau] X \in \Gamma_{\mathbb{S}}$ *, then*  $(\Gamma_{\mathbb{S}})_{x,1}, \langle \tau \rangle \vdash \mathbb{S}[x] : X$ .

#### Computational context typing rules

	$\vdash V : A_{op} \qquad I, \langle r \rangle$	$ \tau_{op}\rangle, x: B_{op} \vdash \mathbb{K}: \tau$	
$\Gamma \vdash []:0$	$\Gamma \vdash op \ V \ (x \ . \ \mathbb{K}) : \tau_{op} + \tau$		
$\mathit{\Gamma}\!$	$\Gamma,\langle  au angle dash X:X$	$\Gamma, x: [\tau] X \vdash \mathbb{K}: \tau'$	
$\Gamma \vdash \text{delay } \tau \mathbb{K} : \tau + \tau'$	$\Gamma \vdash box_{[\tau] X} V \text{ as } x \text{ in } \mathbb{K} : \tau'$		
$\tau \leq \tau_{\Gamma} \qquad \Gamma$	- au dash V : [ au] X	$\Gamma, x : X \vdash \mathbb{K} : \tau'$	

 $\Gamma \vdash \mathsf{unbox}_{[\tau] X} V \text{ as } x \text{ in } \mathbb{K} : \tau'$ 

Equational theory - Non-modal fragment

Unit Type

$$V \equiv ()$$

**Product Type** 

match (V, W) with  $\{(x, y) \mapsto N\} \equiv N[V/x, W/y]$  $M[V/z] \equiv \text{match } V \text{ with } \{(x, y) \mapsto M[(x, y)/z]\}$ 

**Function Type** 

$$(\mathsf{fun} \ (x:X) \mapsto M) \ V \equiv M[V/x]$$
$$V \equiv \mathsf{fun} \ (x:X) \mapsto V \ x$$

Equational theory - return, let, and handle fragment

#### **Return Values**

let x = (return V) in  $N \equiv N[V/x]$ handle (return V) with H to z in  $N \equiv N[V/z]$ 

**Algebraicity** ( $y \notin fv(N)$ )

let  $x = (\text{op } V (y \cdot M))$  in  $N \equiv \text{op } V (y \cdot (\text{let } x = M \text{ in } N))$ 

. . .

### Effect Handling

**Associativity**  $(y \notin fv(P))$ 

let x = (let y = M in N) in  $P \equiv \text{let } y = M$  in (let x = N in P)