

# The Programming of Algebra

Fritz Henglein

Robin Kaarsgaard

Mikkel Kragh Mathiesen

April 2, 2022

Query languages should be:

- Efficient
- Expressive
- Reasonable

Answer: algebraic and categorical structure.

A  $K$ -module  $V$  comprises:

- $0 : V$
- $(+) : V \times V \rightarrow V$  (associative, commutative)
- $(\cdot) : K \times V \rightarrow V$  (associative, distributive)

A  $K$ -algebra  $V$  additionally comprises:

- $(\cdot) : V \times V \rightarrow V$  (associative, commutative, distributes over  $+$ )
- $1 : V$  (if algebra is *unital*)

# Scalar

The scalar module  $K$  is generated by 1. Algebra structure inherited from ring. Elimination principle: given  $f : \{1\} \rightarrow |V|$

$$\hat{f}(1) = f(1)$$

Hom characterisation:

$$K \rightarrow_1 V \cong V$$

# Tensor product

The tensor product  $U \otimes V$  of  $U$  and  $V$  is generated by  $u \otimes v$ . Algebra structure:

$$(u_1 \otimes v_1) \cdot (u_2 \otimes v_2) = (u_1 \cdot u_2) \otimes (v_1 \cdot v_2)$$

Elimination principle: given  $f : U \rightarrow_1 V \rightarrow_1 W$

$$\hat{f}(u \otimes v) = f(u, v)$$

Hom characterisation:

$$U \otimes V \rightarrow_1 W \cong U \rightarrow_1 V \rightarrow_1 W$$

# Free module

Free module  $\mathbf{F}_K[A]$  is generated by  $\langle a \rangle$ . Algebra structure:

$$\langle a \rangle \cdot \langle a \rangle = \langle a \rangle$$

$$\langle a \rangle \cdot \langle b \rangle = 0 \quad \text{for } a \neq b$$

Elimination principle: given  $f : A \rightarrow |V|$

$$\hat{f} : \mathbf{F}_K[A] \rightarrow_1 V$$

$$\hat{f}(\langle x \rangle) = f(x)$$

Hom characterisation:

$$\mathbf{F}_K[A] \rightarrow_1 V \cong (A \rightarrow |V|)$$

# Free module

Compact free module  $\mathbf{F}_K^*[A]$  is generated by  $\langle a \rangle$  and  $*$ . Algebra structure:

$$* \cdot y = y$$

$$\langle a \rangle \cdot \langle a \rangle = \langle a \rangle$$

$$x \cdot * = x$$

$$\langle a \rangle \cdot \langle b \rangle = 0 \quad \text{for } a \neq b$$

Elimination principle: given  $f : A \rightarrow |V|$  and  $u : |V|$

$$\hat{f} : \mathbf{F}_K^*[A] \rightarrow_1 V$$

$$\hat{f}(\langle x \rangle) = f(x)$$

$$\hat{f}(*) = u$$

Hom characterisation:

$$\mathbf{F}_K^*[A] \rightarrow_1 V \cong (A \rightarrow |V|) \times (\{*\} \rightarrow |V|)$$

# Finite map

Finite map module over  $A$  and  $U$  is generated by  $a \mapsto u$ .

Algebra structure:

$$(a \mapsto u) \cdot (a \mapsto v) = a \mapsto u \cdot v$$

$$(a \mapsto u) \cdot (b \mapsto v) = 0 \quad \text{for } a \neq b$$

Elimination principle: given  $f : A \rightarrow |U \rightarrow_1 V|$

$$\hat{f} : (A \Rightarrow U) \rightarrow_1 V$$

$$\hat{f}(a \mapsto v) = f(a, v)$$

Hom characterisation:

$$(A \Rightarrow U) \rightarrow_1 V \cong (A \rightarrow |U \rightarrow_1 V|)$$



# Compact map

Compact map module over  $A$  and  $U$  is generated by  $a \mapsto u$  and  $* \mapsto u$ .

Algebra structure:

$$(* \mapsto u) \cdot (a \mapsto v) = a \mapsto u \cdot v \quad (a \mapsto u) \cdot (a \mapsto v) = a \mapsto u \cdot v$$

$$(a \mapsto u) \cdot (* \mapsto v) = a \mapsto u \cdot v \quad (a \mapsto u) \cdot (b \mapsto v) = 0 \quad \text{for } a \neq b$$

$$(* \mapsto u) \cdot (* \mapsto v) = * \mapsto u \cdot v$$

Elimination principle: given  $f : A \rightarrow |U \rightarrow_1 V|$  and  $u : U \rightarrow_1 V$

$$\hat{f} : (A \Rightarrow^* U) \rightarrow_1 V$$

$$\hat{f}(a \mapsto v) = f(a, v)$$

$$\hat{f}(* \mapsto v) = u(v)$$

Hom characterisation:

$$(A \Rightarrow^* U) \rightarrow_1 V \cong (A \rightarrow |U \rightarrow_1 V|) \times (U \rightarrow_1 V)$$

# Compact map lookup

An element of  $A \Rightarrow^* U$  can be used as a function:

$$(a \mapsto u)(a) = u$$

$$(a \mapsto u)(b) = 0 \quad \text{for } a \neq b$$

$$(a \mapsto u)(*) = 0$$

$$(* \mapsto u)(a) = u$$

$$(* \mapsto u)(*) = u$$

Let

$$x = (* \mapsto 2) + (a \mapsto 3) + (b \mapsto -2)$$

Consider the following lookups:

$$x(*) = 2 \quad x(a) = 2 + 3 = 5 \quad x(b) = 2 - 2 = 0 \quad x(c) = 2$$

A *baseline* rather than a *default*.

# Isomorphisms

Module (not algebra) isomorphisms:

$$\mathbf{F}_K[0] \cong \mathbf{0}$$

$$0 \Rightarrow U \cong \mathbf{0}$$

$$A \Rightarrow \mathbf{0} \cong \mathbf{0}$$

$$\mathbf{F}_K[A + B] \cong \mathbf{F}_K[A] \oplus \mathbf{F}_K[B]$$

$$(A + B) \Rightarrow U \cong (A \Rightarrow U) \oplus (B \Rightarrow U)$$

$$A \Rightarrow (U \oplus V) \cong (A \Rightarrow U) \oplus (A \Rightarrow V)$$

$$A \Rightarrow U \cong \mathbf{F}_K[A] \otimes U$$

$$\mathbf{F}_K^*[A] \cong \mathbf{F}_K[A] \oplus K$$

$$\mathbf{F}_K[1] \cong K$$

$$1 \Rightarrow U \cong U$$

$$A \Rightarrow K \cong \mathbf{F}_K[A]$$

$$\mathbf{F}_K[A \times B] \cong \mathbf{F}_K[A] \otimes \mathbf{F}_K[B]$$

$$(A \times B) \Rightarrow U \cong A \Rightarrow B \Rightarrow U$$

$$A \Rightarrow (U \otimes V) \cong (A \Rightarrow U) \otimes V$$

$$A \Rightarrow^* U \cong \mathbf{F}_K^*[A] \otimes U$$

$$A \Rightarrow^* U \cong (A \Rightarrow U) \oplus A$$

Are all such modules free? Well yes, but actually no.

# Representation

Consider the isomorphism:

$$\varphi : \mathbf{F}_K[A \times B] \cong \mathbf{F}_K[A] \otimes \mathbf{F}_K[B]$$

Take an element  $x : \mathbf{F}_K[A] \otimes \mathbf{F}_K[B]$ .

$$x = (\langle a_1 \rangle + \langle a_2 \rangle + \langle a_3 \rangle) \otimes (\langle b_1 \rangle + \langle b_2 \rangle + \langle b_3 \rangle)$$

Transport it there...

$$\begin{aligned} \varphi^{-1}(x) = & \langle (a_1, b_1) \rangle + \langle (a_1, b_2) \rangle + \langle (a_1, b_3) \rangle + \\ & \langle (a_2, b_1) \rangle + \langle (a_2, b_2) \rangle + \langle (a_2, b_3) \rangle + \\ & \langle (a_3, b_1) \rangle + \langle (a_3, b_2) \rangle + \langle (a_3, b_3) \rangle \end{aligned}$$

...and back again

$$\begin{aligned} \varphi(\varphi^{-1}(x)) = & \langle a_1 \rangle \otimes \langle b_1 \rangle + \langle a_1 \rangle \otimes \langle b_2 \rangle + \langle a_1 \rangle \otimes \langle b_3 \rangle + \\ & \langle a_2 \rangle \otimes \langle b_1 \rangle + \langle a_2 \rangle \otimes \langle b_2 \rangle + \langle a_2 \rangle \otimes \langle b_3 \rangle + \\ & \langle a_3 \rangle \otimes \langle b_1 \rangle + \langle a_3 \rangle \otimes \langle b_2 \rangle + \langle a_3 \rangle \otimes \langle b_3 \rangle \end{aligned}$$

Query paradigms:

- SQL: mixed set/multiset semantics, updates do not commute
- Relational algebra: set semantics, updates do not commute
- Algebra: semantics depend on  $K$ , updates commute

Choice of ring:

- $\mathbf{F}_{\mathbb{F}_2}[A]$  represent sets
- $\mathbf{F}_{\mathbb{N}}[A]$  represent multisets (caveat: only a semimodule)
- $\mathbf{F}_{\mathbb{Z}}[A]$  represent polysets
- $\mathbf{F}_{\mathbb{R}}[A]$  represent generalised fuzzy sets

# Rosetta Stone: Selection

SQL:

```
SELECT * FROM table WHERE condition
```

Relational algebra:

$$\sigma_{\text{condition}}(\text{table}) = \{t \in \text{table} \mid \text{condition}(t)\}$$

Algebra:

$$\sigma_{\text{condition}} : \mathbf{F}_K[A] \rightarrow_1 \mathbf{F}_K[A]$$

$$\sigma_{\text{condition}}(\langle a \rangle) = \langle a \rangle \quad \text{condition is true for } a$$

$$\sigma_{\text{condition}}(\langle a \rangle) = 0 \quad \text{condition is false for } a$$

# Rosetta Stone: Projection

SQL:

```
SELECT attr FROM table
```

Relational algebra:

$$\pi_2(\text{table}) = \{y \mid (x, y) \in \text{table}\}$$

Algebra:

$$\# : \mathbf{F}_K[A] \rightarrow_1 K$$

$$\#\langle a \rangle = 1$$

$$\pi_2 : \mathbf{F}_K[A] \otimes V \rightarrow_1 V$$

$$\pi_2(u \otimes v) = \#u \cdot v$$

Note: multiplicities are preserved.

SQL:

```
table1 UNION table2
```

```
table1 UNION ALL table2
```

Relational algebra:

$$\text{table1} \cup \text{table2}$$

Algebra:

$$\text{table1} + \text{table2}$$

Note: different semantics for multiplicities.



# Rosetta Stone: Cartesian product

SQL:

```
SELECT * FROM table1, table2
```

Relational algebra:

$$\text{table1} \times \text{table2}$$

Algebra:

$$\text{table1} \otimes \text{table2}$$

Note: beware of expression swell.

# Rosetta Stone: Intersection

SQL:

```
SELECT * FROM table1 NATURAL JOIN table2
```

```
SELECT * FROM table1 INTERSECT SELECT * FROM table2
```

Relational algebra:

$$\text{table1} \bowtie \text{table2}$$

Algebra:

$$\text{table1} \cdot \text{table2}$$

Note: assume both tables have the same schema.

# Rosetta Stone: Natural join

SQL:

```
SELECT * FROM table1  
JOIN table2 ON table1.attr = table2.attr
```

Relational algebra:

$$\alpha_1(\text{table1}) \bowtie \alpha_2(\text{table2})$$

Algebra:

$$\alpha_1 : \mathbf{F}_K[A] \otimes \mathbf{F}_K[B] \rightarrow_1 \mathbf{F}_K^*[A] \otimes \mathbf{F}_K^*[B] \otimes \mathbf{F}_K^*[C]$$

$$\alpha_1(\langle a \rangle \otimes \langle b \rangle) = \langle a \rangle \otimes \langle b \rangle \otimes 1_C$$

$$\alpha_2 : \mathbf{F}_K[B] \otimes \mathbf{F}_K[C] \rightarrow_1 \mathbf{F}_K^*[A] \otimes \mathbf{F}_K^*[B] \otimes \mathbf{F}_K^*[C]$$

$$\alpha_2(\langle b \rangle \otimes \langle c \rangle) = 1_A \otimes \langle b \rangle \otimes \langle c \rangle$$

$$\alpha_1(\text{table1}) \cdot \alpha_2(\text{table2})$$

# Simplification

Simplification depends on the desired observation. Examples include:

- Zero or non-zero.
- Any form without multiplications.
- A list of all basis elements  $\langle a \rangle$  with multiplicities.

For instance,  $a \otimes b = 0$  only when  $a = 0$  and  $b = 0$ .

# Simplification

Finite maps can be simplified via isomorphisms:

$$\mathbf{cp}_0 : 0 \Rightarrow U \cong \mathbf{0} \quad \mathbf{cp}_+ : (A + B) \Rightarrow U \cong (A \Rightarrow U) \oplus (B \Rightarrow U)$$

$$\mathbf{cp}_1 : 1 \Rightarrow U \cong U \quad \mathbf{cp}_\times : (A \times B) \Rightarrow U \cong A \Rightarrow B \Rightarrow U$$

Thus, generic tries arise naturally from algebra.

# Simplification

Main challenge is reducing a product of sums.

Suppose  $v_1, \dots, v_n : A_1 \Rightarrow^* \dots \Rightarrow^* A_m \Rightarrow^* K$ .

Recurse on the type, not the expression:

$$\begin{aligned} & v_1 v_2 \cdots v_n \\ = & ((\sum_{1 \leq i \leq k} a_i \mapsto v_{1,i}) + (* \mapsto v_{1,*})) v_2 \cdots v_n \\ = & (\sum_{1 \leq i \leq k} (a_i \mapsto v_{1,i}) v_2 \cdots v_n) + (* \mapsto v_{1,*}) v_2 \cdots v_n \\ = & (\sum_{1 \leq i \leq k} a_i \mapsto v_{1,i} v_2(a_i) \cdots v_n(a_i)) + (* \mapsto v_{1,*} v_2(*) \cdots v_n(*)) \end{aligned}$$

This strategy is *worst-case output optimal*.

# Summary

- Modules, unital algebras
- Universal constructions
- Isomorphisms, representation
- Queries
- Simplification, joins